

## PATENT COOPERATION TREATY

PCT

NOTIFICATION OF THE RECORDING  
OF A CHANGE(PCT Rule 92bis.1 and  
Administrative Instructions, Section 422)

From the INTERNATIONAL BUREAU

To:

DAVIES COLLISON CAVE  
1 Little Collins Street  
Melbourne, VIC 3000  
AUSTRALIE

Date of mailing (day/month/year) 18 April 2000 (18.04.00)	<b>IMPORTANT NOTIFICATION</b>
Applicant's or agent's file reference 2246810/DBW	
International application No. PCT/AU99/01139	International filing date (day/month/year) 23 December 1999 (23.12.99)

## 1. The following indications appeared on record concerning:

☒ the applicant      ☒ the inventor      ☐ the agent      ☐ the common representative

Name and Address WILLIAMS, Russell, Clarke 18 The Rialto East Melbourne, VIC 3002 Australia	State of Nationality AU	State of Residence AU
	Telephone No.	
	Facsimile No.	
	Teleprinter No.	

## 2. The International Bureau hereby notifies the applicant that the following change has been recorded concerning:

☐ the person      ☐ the name      ☒ the address      ☐ the nationality      ☐ the residence

Name and Address WILLIAMS, Russell, Clarke 18 The Rialto East Melbourne, VIC 3148 Australia	State of Nationality AU	State of Residence AU
	Telephone No.	
	Facsimile No.	
	Teleprinter No.	

## 3. Further observations, if necessary:

## 4. A copy of this notification has been sent to:

<input checked="" type="checkbox"/> the receiving Office	<input checked="" type="checkbox"/> the designated Offices concerned
<input type="checkbox"/> the International Searching Authority	<input type="checkbox"/> the elected Offices concerned
<input type="checkbox"/> the International Preliminary Examining Authority	<input type="checkbox"/> other:

The International Bureau of WIPO 34, chemin des Colombettes 1211 Geneva 20, Switzerland Facsimile No.: (41-22) 740.14.35	Authorized officer Philippe Bécamel Telephone No.: (41-22) 338.83.38
---	--

**CORRECTED  
VERSION**

Copy for the designated Office (DO/US)

PCT/AU99/01139

**PATENT COOPERATION TREATY**

From the INTERNATIONAL BUREAU

To:

DAVIES COLLISON CAVE  
1 Little Collins Street  
Melbourne, VIC 3000  
AUSTRALIE

**NOTIFICATION OF THE RECORDING  
OF A CHANGE**

(PCT Rule 92bis.1 and  
Administrative Instructions, Section 422)

Date of mailing (day/month/year) 17 May 2000 (17.05.00)	<b>IMPORTANT NOTIFICATION</b>
Applicant's or agent's file reference 2246810/DBW	
International application No. PCT/AU99/01139	International filing date (day/month/year) 23 December 1999 (23.12.99)

1. The following indications appeared on record concerning:

☒ the applicant ☒ the inventor ☐ the agent ☐ the common representative

Name and Address

WILLIAMS, Russell, Clarke  
18 The Rialto  
East Melbourne, VIC 3002  
Australia

State of Nationality

AU

State of Residence

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Telephone No.

Facsimile No.

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Name and Address

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18 The Rialto  
Malvern East, VIC 3002  
Australia

State of Nationality

AU

State of Residence

AU

Telephone No.

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☒ the receiving Office ☒ the designated Offices concerned  
☐ the International Searching Authority ☐ the elected Offices concerned  
☐ the International Preliminary Examining Authority ☐ other:

The International Bureau of WIPO  
34, chemin des Colombettes  
1211 Geneva 20, Switzerland

Facsimile No.: (41-22) 740.14.35

Authorized officer

Philippe Bécamel

Telephone No.: (41-22) 338.83.38

## PATENT COOPERATION TREATY

TUESDAY 18 JUL 2000

From the INTERNATIONAL BUREAU

## PCT

NOTICE INFORMING THE APPLICANT OF THE  
COMMUNICATION OF THE INTERNATIONAL  
APPLICATION TO THE DESIGNATED OFFICES

(PCT Rule 47.1(c), first sentence)

To:

WEBBER, David, Brian  
Davies Collison Cave  
1 Little Collins Street  
Melbourne, VIC 3000  
AUSTRALIE

Date of mailing (day/month/year) 06 July 2000 (06.07.00)		
Applicant's or agent's file reference 2246810/DBW		IMPORTANT NOTICE
International application No. PCT/AU99/01139	International filing date (day/month/year) 23 December 1999 (23.12.99)	Priority date (day/month/year) 23 December 1998 (23.12.98)
Applicant TELSTRA CORPORATION LIMITED et al		

1. Notice is hereby given that the International Bureau has communicated, as provided in Article 20, the international application to the following designated Offices on the date indicated above as the date of mailing of this Notice:  
AU,CN,JP,KP,KR,US

In accordance with Rule 47.1(c), third sentence, those Offices will accept the present Notice as conclusive evidence that the communication of the international application has duly taken place on the date of mailing indicated above and no copy of the international application is required to be furnished by the applicant to the designated Office(s).

2. The following designated Offices have waived the requirement for such a communication at this time:

AE,AL,AM,AP,AT,AZ,BA,BB,BG,BR,BY,CA,CH,CR,CU,CZ,DE,DK,DM,EA,EE,EP,ES,FI,GB,GD,GE,  
GH,GM,HR,HU,ID,IL,IN,IS,KE,KG,KZ,LC,LK,LR,LS,LT,LU,LV,MA,MD,MG,MK,MN,MW,MX,NO,NZ,  
OA,PL,PT,RO,RU,SD,SE,SG,SI,SK,SL,TJ,TM,TR,TT,TZ,UA,UG,UZ,VN,YU,ZA,ZW

The communication will be made to those Offices only upon their request. Furthermore, those Offices do not require the applicant to furnish a copy of the international application (Rule 49.1(a-bis)).

3. Enclosed with this Notice is a copy of the international application as published by the International Bureau on  
06 July 2000 (06.07.00) under No. WO 00/39960

## REMINDER REGARDING CHAPTER II (Article 31(2)(a) and Rule 54.2)

If the applicant wishes to postpone entry into the national phase until 30 months (or later in some Offices) from the priority date, a demand for international preliminary examination must be filed with the competent International Preliminary Examining Authority before the expiration of 19 months from the priority date.

It is the applicant's sole responsibility to monitor the 19-month time limit.

Note that only an applicant who is a national or resident of a PCT Contracting State which is bound by Chapter II has the right to file a demand for international preliminary examination.

## REMINDER REGARDING ENTRY INTO THE NATIONAL PHASE (Article 22 or 39(1))

If the applicant wishes to proceed with the international application in the national phase, he must, within 20 months or 30 months, or later in some Offices, perform the acts referred to therein before each designated or elected Office.

For further important information on the time limits and acts to be performed for entering the national phase, see the Annex to Form PCT/IB/301 (Notification of Receipt of Record Copy) and Volume II of the PCT Applicant's Guide.

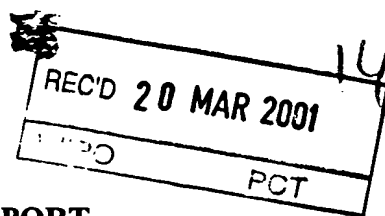
The International Bureau of WIPO 34, chemin des Colombettes 1211 Geneva 20, Switzerland Facsimile No. (41-22) 740.14.35	Authorized officer J. Zahra Telephone No. (41-22) 338.83.38
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## PATENT COOPERATION TREATY

## PCT

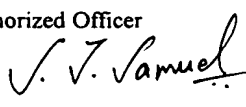
## INTERNATIONAL PRELIMINARY EXAMINATION REPORT

(PCT Article 36 and Rule 70)



Applicant's or agent's file reference 2246810	<b>FOR FURTHER ACTION</b> See Notification of Transmittal of International Preliminary Examination Report (Form PCT/IPEA/416).	
International Application No. PCT/AU99/01139	International Filing Date ( <i>day/month/year</i> ) 23 December 1999	Priority Date ( <i>day/month/year</i> ) 23 December 1998
International Patent Classification (IPC) or national classification and IPC Int. Cl. <sup>7</sup> H04L 12/24; H04Q 7/00; H04M 3/42		
Applicant TELSTRA CORPORATION LIMITED et al		

1.	This international preliminary examination report has been prepared by this International Preliminary Examining Authority and is transmitted to the applicant according to Article 36.																								
2.	<p>This REPORT consists of a total of 5 sheets, including this cover sheet.</p> <p><input type="checkbox"/> This report is also accompanied by ANNEXES, i.e., sheets of the description, claims and/or drawings which have been amended and are the basis for this report and/or sheets containing rectifications made before this Authority (see Rule 70.16 and Section 607 of the Administrative Instructions under the PCT).</p> <p>These annexes consist of a total of sheet(s).</p>																								
3.	<p>This report contains indications relating to the following items:</p> <table border="0"> <tr> <td>I</td> <td><input checked="" type="checkbox"/></td> <td>Basis of the report</td> </tr> <tr> <td>II</td> <td><input type="checkbox"/></td> <td>Priority</td> </tr> <tr> <td>III</td> <td><input type="checkbox"/></td> <td>Non-establishment of opinion with regard to novelty, inventive step and industrial applicability</td> </tr> <tr> <td>IV</td> <td><input type="checkbox"/></td> <td>Lack of unity of invention</td> </tr> <tr> <td>V</td> <td><input checked="" type="checkbox"/></td> <td>Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement</td> </tr> <tr> <td>VI</td> <td><input checked="" type="checkbox"/></td> <td>Certain documents cited</td> </tr> <tr> <td>VII</td> <td><input checked="" type="checkbox"/></td> <td>Certain defects in the international application</td> </tr> <tr> <td>VIII</td> <td><input type="checkbox"/></td> <td>Certain observations on the international application</td> </tr> </table>	I	<input checked="" type="checkbox"/>	Basis of the report	II	<input type="checkbox"/>	Priority	III	<input type="checkbox"/>	Non-establishment of opinion with regard to novelty, inventive step and industrial applicability	IV	<input type="checkbox"/>	Lack of unity of invention	V	<input checked="" type="checkbox"/>	Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement	VI	<input checked="" type="checkbox"/>	Certain documents cited	VII	<input checked="" type="checkbox"/>	Certain defects in the international application	VIII	<input type="checkbox"/>	Certain observations on the international application
I	<input checked="" type="checkbox"/>	Basis of the report																							
II	<input type="checkbox"/>	Priority																							
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VII	<input checked="" type="checkbox"/>	Certain defects in the international application																							
VIII	<input type="checkbox"/>	Certain observations on the international application																							

Date of submission of the demand 19 July 2000	Date of completion of the report 7 March 2001
Name and mailing address of the IPEA/AU AUSTRALIAN PATENT OFFICE PO BOX 200, WODEN ACT 2606, AUSTRALIA E-mail address: pct@ipaustalia.gov.au Facsimile No. (02) 6285 3929	Authorized Officer  <b>SERINEL SAMUEL</b> Telephone No. (02) 6283 2382

**I. Basis of the report**

1. With regard to the elements of the international application:\*
- ☒ the international application as originally filed.
- ☐ the description,        pages , as originally filed,  
   pages , filed with the demand,  
   pages , received on    with the letter of
- ☐ the claims,                pages , as originally filed,  
   pages , as amended (together with any statement) under Article 19,  
   pages , filed with the demand,  
   pages , received on    with the letter of
- ☐ the drawings,            pages , as originally filed,  
   pages , filed with the demand,  
   pages , received on    with the letter of
- ☐ the sequence listing part of the description:  
   pages , as originally filed  
   pages , filed with the demand  
   pages , received on    with the letter of
2. With regard to the **language**, all the elements marked above were available or furnished to this Authority in the language in which the international application was filed, unless otherwise indicated under this item.  
These elements were available or furnished to this Authority in the following language which is:
- ☐ the language of a translation furnished for the purposes of international search (under Rule 23.1(b)).
- ☐ the language of publication of the international application (under Rule 48.3(b)).
- ☐ the language of the translation furnished for the purposes of international preliminary examination (under Rules 55.2 and/or 55.3).
3. With regard to any **nucleotide and/or amino acid sequence** disclosed in the international application, was on the basis of the sequence listing:
- ☐ contained in the international application in written form.
- ☐ filed together with the international application in computer readable form.
- ☐ furnished subsequently to this Authority in written form.
- ☐ furnished subsequently to this Authority in computer readable form.
- ☐ The statement that the subsequently furnished written sequence listing does not go beyond the disclosure in the international application as filed has been furnished.
- ☐ The statement that the information recorded in computer readable form is identical to the written sequence listing has been furnished
4. ☐ The amendments have resulted in the cancellation of:
- ☐ the description,        pages
- ☐ the claims,                Nos.
- ☐ the drawings,            sheets/fig.
5. ☐ This report has been established as if (some of) the amendments had not been made, since they have been considered to go beyond the disclosure as filed, as indicated in the Supplemental Box (Rule 70.2(c)).\*\*

\* Replacement sheets which have been furnished to the receiving Office in response to an invitation under Article 14 are referred to in this report as "originally filed" and are not annexed to this report since they do not contain amendments (Rules 70.16 and 70.17).

\*\* Any replacement sheet containing such amendments must be referred to under item 1 and annexed to this report

**V. Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement****1. Statement**

Novelty (N)	Claims 3,6-10,13,16-24	YES
	Claims 1,2,4,5,11,12,14,15	NO
Inventive step (IS)	Claims Nil	YES
	Claims 1-24	NO
Industrial applicability (IA)	Claims 1-24	YES
	Claims Nil	NO

**2. Citations and explanations (Rule 70.7)****NOVELTY (N) claims 1,2,4,5,11,12,14,15**

D1 WO 96/13949

D2 CA 2,153,281

Each of these citations explicitly discloses all of the features of claims 1 and 11, and the explicit disclosure of these citations is to employ the element as instantly claimed.

The features of claims 2,12,5 and 15 are found in document D1 and the features of claims 4 and 14 are found in document D2.

**INVENTIVE STEP (IS) claims 1,2,4,5,11,12,14,15**

As above

The remaining appended claims add features which would either be obvious to a person skilled in the art or merely amount to adding common general knowledge.

**INDUSTRIAL APPLICABILITY (IA) claims 1-24**

Claims 1-24 have industrial applicability.

**Non-patent Literature P Category Documents**

"An Architecture for Residential Internet Telephony Service" (HUTTEMA et al.) IEEE Internet Computing, Volume 3, Number 3, May-June 1999, pages 73-82.

This document may be relevant to at least claims 1 and 11 if there is a priority issue with the application.

## INTERNATIONAL PRELIMINARY EXAMINATION REPORT

International application No.

PCT/AU99/01139

**VI. Certain documents cited****1. Certain published documents (Rule 70.10)**

Application No. Patent No.	Publication date (day/month/year)	Filing date (day/month/year)	Priority date ( valid claim) (day/month/year)
P,X WO 99/16256	01 April 1999	23 September 1998	24 September 1997

**2. Non-written disclosures (Rule 70.9)**

Kind of non-written disclosure	Date of non-written disclosure (day/month/year)	Date of written disclosure referring to non- written disclosure (day/month/year)

**VII. Certain defects in the international application**

The following defects in the form or contents of the international application have been noted:

- 1 The claims do not comply with Rule 6.2(b) because reference signs in parentheses relating the technical features mentioned to the drawings should be inserted in the claims to increase their intelligibility. This applies to both the preamble and the characterising portions.





## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification <sup>6</sup> :  
H04L 12/24, H04Q 7/00, H04M 3/42

A1

(11) International Publication Number: **WO 00/39960**

(43) International Publication Date: 6 July 2000 (06.07.00)

(21) International Application Number: PCT/AU99/01139

(22) International Filing Date: 23 December 1999 (23.12.99)

(30) Priority Data:  
PP 7917 23 December 1998 (23.12.98) AU

(71) Applicant (for all designated States except US): TELSTRA CORPORATION LIMITED [AU/AU]; 242 Exhibition Street, Melbourne, VIC 3000 (AU).

(72) Inventors; and

(75) Inventors/Applicants (for US only): KLEINITZ, Francis, Patrick [AU/AU]; RMB 2150 Nungurner Road, Nungurner, VIC 3909 (AU). McLEOD, Norman, William [AU/AU]; 3 Irvine Street, Glen Iris, VIC 3146 (AU). WILLIAMS, Russell, Clarke [AU/AU]; 18 The Rialto, Malvern East, VIC 3002 (AU).

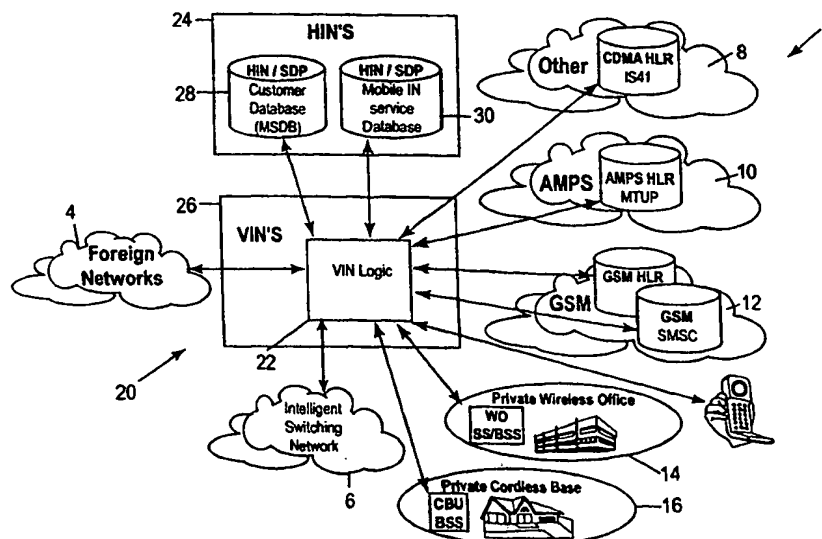
(74) Agents: WEBBER, David, Brian et al.; Davies Collison Cave, 1 Little Collins Street, Melbourne, VIC 3000 (AU).

(81) Designated States: AE, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CR, CU, CZ, DE, DK, DM, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, TZ, UA, UG, US, UZ, VN, YU, ZA, ZW, ARIPO patent (GH, GM, KE, LS, MW, SD, SL, SZ, TZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).

Published

With international search report.

(54) Title: A SYSTEM AND METHOD FOR INTELLIGENT NETWORK SERVICES



## (57) Abstract

A network system having a network switch for processing characteristic data associated with a communications call to determine if Intelligent Network (IN) service data is required to establish the call, and a network service data gateway for receiving the characteristic data from the network switch when the service data is required. The gateway is adapted to process at least part of the characteristic data to determine a network location to access in order to obtain the service data, and a communication protocol for connecting to the network location. The gateway is also adapted to receive the service data and pass the service data to the switch to establish the call. The system has a Home IN and Visitor IN architecture.

**FOR THE PURPOSES OF INFORMATION ONLY**

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AL	Albania	ES	Spain	LS	Lesotho	SI	Slovenia
AM	Armenia	FI	Finland	LT	Lithuania	SK	Slovakia
AT	Austria	FR	France	LU	Luxembourg	SN	Senegal
AU	Australia	GA	Gabon	LV	Latvia	SZ	Swaziland
AZ	Azerbaijan	GB	United Kingdom	MC	Monaco	TD	Chad
BA	Bosnia and Herzegovina	GE	Georgia	MD	Republic of Moldova	TG	Togo
BB	Barbados	GH	Ghana	MG	Madagascar	TJ	Tajikistan
BE	Belgium	GN	Guinea	MK	The former Yugoslav	TM	Turkmenistan
BF	Burkina Faso	GR	Greece		Republic of Macedonia	TR	Turkey
BG	Bulgaria	HU	Hungary	ML	Mali	TT	Trinidad and Tobago
BJ	Benin	IE	Ireland	MN	Mongolia	UA	Ukraine
BR	Brazil	IL	Israel	MR	Mauritania	UG	Uganda
BY	Belarus	IS	Iceland	MW	Malawi	US	United States of America
CA	Canada	IT	Italy	MX	Mexico	UZ	Uzbekistan
CF	Central African Republic	JP	Japan	NE	Niger	VN	Viet Nam
CG	Congo	KE	Kenya	NL	Netherlands	YU	Yugoslavia
CH	Switzerland	KG	Kyrgyzstan	NO	Norway	ZW	Zimbabwe
CI	Côte d'Ivoire	KP	Democratic People's	NZ	New Zealand		
CM	Cameroon		Republic of Korea	PL	Poland		
CN	China	KR	Republic of Korea	PT	Portugal		
CU	Cuba	KZ	Kazakstan	RO	Romania		
CZ	Czech Republic	LC	Saint Lucia	RU	Russian Federation		
DE	Germany	LI	Liechtenstein	SD	Sudan		
DK	Denmark	LK	Sri Lanka	SE	Sweden		
EE	Estonia	LR	Liberia	SG	Singapore		

## INTERNATIONAL SEARCH REPORT

International application No.

PCT/AU 99/01139

**A. CLASSIFICATION OF SUBJECT MATTER**Int Cl<sup>6</sup>: H04L 12/24; H04Q 7/00; H04M 3/42

According to International Patent Classification (IPC) or to both national classification and IPC

**B. FIELDS SEARCHED**

Minimum documentation searched (classification system followed by classification symbols)

IPC H04L/IC, H04Q/IC, H04M/IC

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

AU: IPC AS ABOVE

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

WPAT: Intelligent and network and sms or service and gateway or protocol

JAPIO: 'as above'

**C. DOCUMENTS CONSIDERED TO BE RELEVANT**

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	WO 96/13949 A1 (NOKIA TELECOMMUNICATIONS OY) 09 May 1996 See page 3, line 28 - page 7, line 13 and the claims.	1,2,5
X	CA 2,153,281 A1 (SCHWARTZ, RONALD et al.) 09 January 1996 See the claims	1,4
P,X	WO 99/16256 A1 (BELL CANADA) 01 April 1999 See page 5, line 8 - page 10, line 15.	1

☒ Further documents are listed in the continuation of Box C☒ See patent family annex

\* Special categories of cited documents:

"A" document defining the general state of the art which is not considered to be of particular relevance

"E" earlier application or patent but published on or after the international filing date

"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)

"O" document referring to an oral disclosure, use, exhibition or other means

"P" document published prior to the international filing date but later than the priority date claimed

"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art

"&" document member of the same patent family

Date of the actual completion of the international search  
25 January 2000

Date of mailing of the international search report

08 FEB 2000

Name and mailing address of the ISA/AU

AUSTRALIAN PATENT OFFICE  
PO BOX 200, WODEN ACT 2606, AUSTRALIA  
E-mail address: pct@ipaustalia.gov.au  
Facsimile No. (02) 6285 3929

Authorized officer

SERINEL SAMUEL

Telephone No.: (02) 6283 2382

## INTERNATIONAL SEARCH REPORT

International application No.

PCT/AU 99/01139

C (Continuation). DOCUMENTS CONSIDERED TO BE RELEVANT		
Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
P,X	"AN ARCHITECTURE FOR RESIDENTIAL INTERNET TELEPHONY SERVICE" (HUITEMA et al.) IEEE Internet computing, Volume 3, Number 3, MAY - JUNE 1999, pages 73 to 82.	1-24
P,A	WO 99/03251 A1 (NOKIA TELECOMMUNICATIONS OY) 21 January 1999 See the whole document.	1-24

# INTERNATIONAL SEARCH REPORT

## Information on patent family members

International application No.  
**PCT/AU 99/01139**

This Annex lists the known "A" publication level patent family members relating to the patent documents cited in the above-mentioned international search report. The Australian Patent Office is in no way liable for these particulars which are merely given for the purpose of information.

Patent Document Cited in Search Report				Patent Family Member			
WO	96/13949	AU	37487/95	CA	2203798	CN	1166908
		EP	789977				
CA	2153281	US	5862334				
WO	99/16256	AU	92474/98	CA	2216620		
WO	99/03251	AU	83412/98	FI	972974		
							END OF ANNEX